

### Foreign References

Australian AU-A-48232-96\*  
European EP 0 025 561  
European EP 0 343 833\*\*  
European EP 0 439 427  
European EP 0 536 935\*\*  
European EP 0 810 241  
European EP 0 814 103\*\*  
European EP 0 826 702  
European EP 0 942 037  
European EP 1 033 390\*\*  
French FR 2 309 569  
German DE 197 26 802\*\*  
German DE 197 41 778\*  
International WO 94/06876\*\*  
International WO 01/48026\*\*

The above-listed patents and patent references that are designated with an asterisk (\*) are discussed in the specification of the present application. The above-listed patents and patent references that are designated with a double asterisk (\*\*) have been cited in a European Search Report issued in connection with applicants' corresponding European Application No. 01307451.3, filed August 31, 2001. A copy of the aforesaid European Search Report is enclosed herewith and provides an indication of the relevance of the patents and patent documents cited therein.

Applicants also take this opportunity to notify the Examiner of the existence of the following two co-pending, commonly assigned U.S. patent applications, which may be considered relevant to the present invention and copies of which are submitted herewith for the Examiner's convenience and consideration:

- (1) U.S. Serial No. 09/944,290  
Entitled: High Solids Polymeric Additive Systems:  
Compositions, Processes, and Products Thereof  
Filed: August 31, 2001  
(Atty Docket: A01071)
- (2) U.S. Serial No. 09/944,289  
Entitled: Functional Liquid-Solid Additive Systems:  
Compositions, Processes, and Products Thereof  
Filed: August 31, 2001  
(Atty Docket: A01073)

It is noted that the remaining above-listed patents and patent references (i.e., which are not asterisked) are being cited, concurrently herewith, in connection with one or both of the above-listed two co-pending commonly assigned U.S. patent applications.

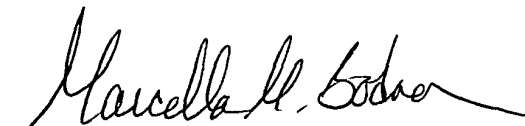
The filing of this Information Disclosure Statement shall not be construed to mean that a search has been made, or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. The Examiner may find the enclosed documents to be relevant to the invention of the present application.

No fees are believed to be due in connection with the submission of this Information Disclosure Statement, since it is believed that it is being submitted prior to the mailing date of a first Office Action on the merits for the present application. If, however, any such fees, including petition and extension fees, are due in connection with the submission of this Information Disclosure Statement, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 18-1850. In the meantime, please direct all future correspondence relating to the present application to the undersigned attorney.

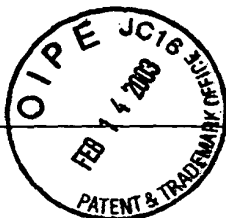
Date: Feb. 14, 2003

Rohm and Haas Company  
100 Independence Mall West  
Philadelphia, Pa. 19106-2399

Respectfully Submitted,



Marcella M. Bodner  
Attorney for Applicants  
Reg. No. 46,561  
Telephone (215) 592-3025



APPLICANT(S) COPY

Sheet 1 of 1

**LIST OF ART CITED BY APPLICANT**

(Use several sheets if necessary)

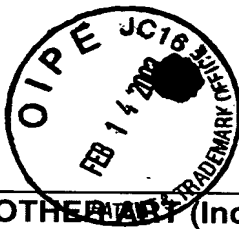
Atty Docket No.	Serial No.
A01072	09/943,690
Applicant	
Jane Elizabeth Weier et al.	
Filing Date	Group
August 31, 2001	1714

**U.S. Patent Documents**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	Class/Subclass	FILING DATE IF APPROPRIATE
	AA	3,864,432	2/04/1975	Adler et al.	260/897B	11/22/1972
	AB	3,793,402	2/19/1974	Owens	260/876R	11/05/1971
	AC	3,971,835	7/27/1976	Myers et al.	260/876R	8/05/1974
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	AQ	EP 0 826 702	04.03.1998	Europe	C08F 14/06	No
	AR	EP 1 033 390	06.09.2000	Europe	C08L 101/00	Yes
	AS	FR 2 309 569	26.11.1976	France	C08F 14/06	No
	AT	WO 01/48026	05.07.2001	PCT	C08F 2/16	No
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	AV	EP 0 536 935	30.09.1992	Europe	C08F 285/00	Yes
	AW	EP 0 439 427	15.01.1991	Europe	C08K 5/00	No
	AX	EP 0 942 037	05.03.1999	Europe	C08K 5/00	No
	AY	DE 197 26 802	24.06.1997	Germany	C08L 27/18	No
	AZ	EP 0 343 833	16.05.1989	Europe	C08F 291/00	Yes
	BA	WO 94/06876	31.03.1994	PCT	C09D 163/00	Yes
	BB	AU-A-48232-96	21.03.1996	Australia	C07D 487-04	Yes



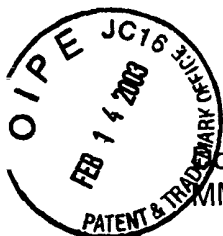
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OTHER REF (Including Author, Title, Date, Pertinent Patents, etc.)

BC	
BD	
Examiner	Date Considered

\*EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

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ocket No. A01072

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In re application of:  
Jane Elizabeth Weier, et al.

Group Art: 1714

Serial No.: 09/943,690

Confirmation No.: 1268

Examiner: Tae H. Yoon

Filed: August 31, 2001

For: Multiple Polymeric Additive  
Systems: Compositions,  
Processes, and Products Thereof:

X

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

In accordance with the requirements of 37 C.F.R. §§ 1.97 and 1.98, applicants and their attorneys wish to make of record the following patents and patent references, copies of which are provided herewith, in connection with the above-identified application. The citation of the following patents and patent references should not be construed as an admission that any of them constitute statutory prior art relative to the present application.

**U.S. Patents**

3,864,432\*

4,440,905\*

5,534,594\*

3,793,402\*

4,469,825\*\*

5,599,854\*

3,971,835\*

4,539,361\*\*

5,726,259\*\*

4,040,996

4,791,151\*\*

4,385,152\*\*

5,276,092\*



P.B.5818 - Patentlaan 2  
2280 HV Rijswijk (ZH)  
☎ +31 70 340 2040  
TX 31651 epo nl  
FAX +31 70 340 3016

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Zweigstelle  
in Den Haag  
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Département à  
La Haye  
Division de la  
recherche

Kent, Venetia Katherine  
Rohm and Haas (UK) Ltd  
European Operations Patent Dept.  
Lennig House  
2 Mason's Avenue  
Croydon, CR9 3NB  
GRANDE BRETAGNE

PSC

Datum/Date

03.01.02

Zeichen/Ref./Réf. <b>DN A01072-EP</b>	Anmeldung Nr./Application No./Demande n°/Patent Nr./Patent No./Brevet n°. <b>01307451.3-2115-</b>
Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire <b>ROHM AND HAAS COMPANY</b>	

## COMMUNICATION

The European Patent Office herewith transmits as an enclosure the European search report for the above-mentioned European patent application.

If applicable, copies of the documents cited in the European search report are attached.

☐ Additional set(s) of copies of the documents cited in the European search report is (are) enclosed as well.

The following specifications given by the applicant have been approved by the Search Division:

☒ abstract

☒ title

☐ The abstract was modified by the Search Division and the definitive text is attached to this communication.

The following figure will be published together with the abstract: **NONE**

## REFUND OF THE SEARCH FEE

If applicable under Article 10 Rules relating to fees, a separate communication from the Receiving Section on the refund of the search fee will be sent later.





DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.C1.7)
X	US 5 726 259 A (TRIANTAFILLOPOULOS NICK ET AL) 10 March 1998 (1998-03-10) * claims 1,2,7-9 * ----	1-10	C08L101/00 C08F2/44
X	US 4 539 361 A (SIOL WERNER ET AL) 3 September 1985 (1985-09-03) * column 3, line 47-52 * ----	1-10	
E	WO 01 48026 A (BETREMIEUX ISABELLE ;MEESCHAERT NATHALIE (FR); SCHNEIDER MARTINE ()) 5 July 2001 (2001-07-05) * page 6, line 20-30 * * page 7, line 1-7; claims 1,5 * ----	1-10	
X	US 4 469 825 A (KOWALSKI ALEXANDER ET AL) 4 September 1984 (1984-09-04) * column 3, line 20-32,37-44 * * column 11, line 10-14 * ----	1-10	
X	EP 0 814 103 A (BASF AG) 29 December 1997 (1997-12-29) * claim 1 * ----	1-10	TECHNICAL FIELDS SEARCHED (Int.C1.7)
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The present search report has been drawn up for all claims			
Place of search <b>MUNICH</b>		Date of completion of the search <b>30 November 2001</b>	Examiner <b>Natus, G</b>
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document		T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons ----- & : member of the same patent family, corresponding document	



DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.CI.7)
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The present search report has been drawn up for all claims			TECHNICAL FIELDS SEARCHED (Int.CI.7)
Place of search <b>MUNICH</b>		Date of completion of the search <b>30 November 2001</b>	Examiner <b>Natus, G</b>
<div>CATEGORY OF CITED DOCUMENTS</div> <div>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</div> <div>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons ----- &amp; : member of the same patent family, corresponding document</div>			



**ANNEX TO THE EUROPEAN SEARCH REPORT  
ON EUROPEAN PATENT APPLICATION NO.**

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30-11-2001

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